Drug & Alcohol



Contractor Auditing 49 CFR Part 40 and 199

Process Overview

Initial Contact

Identification of Regulatory requirements and Scope to the organization; initial discussion and confirmation with contractor on audit process.

Audit D&A Program

Review and assess the following (as applicable):

- o Anti-Drug & Alcohol Plan and requirements
- o Administrative provisions
- o Drug tests required
- o Alcohol tests required
- o Employer responsibilities
- o Urine collection personnel
- o Substance Abuse Professionals and Return-to-Duty Process
- o Roles and responsibilities of Service Agents
- o Urine specimen collections
- o Drug testing laboratories
- o Medical Review Officers and verification process
- o Split specimen tests
- o Confidentiality and release of information
- o Public Interest Exclusions
- o Review of Drug/Alcohol testing results
- o Employee Assistance Program
- o Contractor employees
- o Recordkeeping
- o Reporting of drug & alcohol testing results
- o Use following an accident
- o Access to facilities and records
- o Removal from covered function
- o Training for supervisors

Audit Final Report

Report provided highlighting key findings, actionable insights, and a clear understanding of the current state of the contractor's Drug & Alcohol program's strengths, areas for improvement, and recommended pathways for enhanced regulatory compliance.